

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 96790P478 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/520879
INTERNATIONAL APPLICATION NO. PCT/JP2004/011605	INTERNATIONAL FILING DATE 12 August 2004	PRIORITY DATE CLAIMED 8/15/03
TITLE OF INVENTION BIOMETRIC RECOGNITION APPARATUS		
APPLICANT(S) FOR DO/EO/US Toshishige Shimamura, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or <u>declaration</u> of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4)). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)). 20. <input checked="" type="checkbox"/> Other items or information. <u>Copies of the following forms: PCT/IB/304 (1 p.); PCT/ISA/210 (2 pp.); and PCT/ISA/237 (3 pp.); Transmittal of Formal Drawings (1 p.); Figures: 35 pp.; 84 Figs.; Request For Priority (1 p.); and return postcard</u>		

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21. The following fees are submitted:				Applicant Use	Office use only
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5):					
<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00		\$ 300.00	
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00		\$ 200.00	
<input checked="" type="checkbox"/>	c) Search fee	\$500.00		\$ 500.00	
TOTAL OF ABOVE CALCULATIONS =			\$1000.00	\$ 1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
146 - 100 =	46 / 50 =	1	x \$250.00	\$ 250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS NUMBER FILED NUMBER EXTRA RATE					
Total claims		32- 20 =	12 x 50	\$ 600.00	
Independent claims		1 - 3 =	0 x 200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1600.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 1600.00	
SUBTOTAL =				\$ 1600.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/>				\$	
TOTAL NATIONAL FEE =				\$ 1600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1890.00	
Amount to be refunded:				\$	
charged:				\$	

a. ☒ A check in the amount of \$ 1890.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-2666 in the amount of \$ 1890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.

<p>SEND ALL CORRESPONDENCE TO: Blakely, Sokoloff, Taylor & Zafman LLP 12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800</p>	<p>DATE <u>1/7/05</u></p>	<p>SIGNATURE <u>Eric S. Hyman</u> NAME <u>30,139</u> REGISTRATION NUMBER</p>
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10/520879

Ex. Mail No.: EV372846969US
DOCKET NO.: 96790P478

DT12 Rec'd PCT/PTO 07 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

TOSHISHIGE SHIMAMURA, ET AL.

Application No.:

Filed:

For: **BIOMETRIC RECOGNITION
APPARATUS**

Art Group:

Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 35 sheets including 84 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 1/1/07


Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (310) 207-3800

10/520 879

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REQUEST FOR PRIORITY

Sir:

Applicant respectfully requests a convention priority for the above-captioned application, namely:

COUNTRY	APPLICATION NUMBER	DATE OF FILING
Japan	2003-293806	15 August 2003
Japan	2003-314557	5 September 2003
Japan	2003-314565	5 September 2003
Japan	2003-397004	27 November 2003

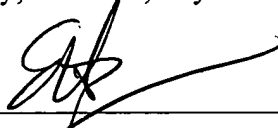
☐ A certified copy of the document is being submitted herewith.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 1/7/05

12400 Wilshire Boulevard, 7th Floor
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Telephone: (310) 207-3800


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